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### School Entry Age and Children's Social-Behavioral Skills: Evidence from a National Longitudinal Study of US Kindergartners

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#### Abstract

Prior research evaluating school entry-age effects has largely overlooked the effects on socialbehavioral skills despite the growing recognition of returns to such skills. This study is the first to examine the effects of kindergarten entry age on children's social-behavioral outcomes using 9 years of panel data on a national sample of U.S. children. We leverage exogenous variation in birth dates and kindergarten entrance age policies to estimate instrumental variables models. Our results show that entering kindergarten a year later is associated with significantly better social-behavioral outcomes during elementary school. However, these effects largely disappear by the end of middle school. Larger gains over time among younger entrants support the notion that the estimated effects are age-at-test effects.

#### **1. Introduction**

Whether children should start school at an earlier or later age continues to be of interest to a variety of stakeholders. First and foremost, parents of prospective school entrants want to know whether holding their child back from school for additional time is beneficial for their child in the short- and long-run. Policymakers want to know what school entry age policies would ensure that children start school ready to learn. And finally, educators want to know what malleable factors can improve children's school performance and readiness for the labor market.

This issue is controversial because there are theoretical arguments supporting both early and later school entry. On the one hand, proponents of later school entry age support the notion that delayed school entrance will provide children an extra year of out-of-school time for additional family nurturing and biological maturation. On the other hand, opponents argue that the instructional context of school can be more important than the additional year of biological maturation (NICHD, 2007, Stipek, 2002), particularly for children in less advantaged homes where financial and non-monetary resources to support an additional year of child care (and hence additional nurturing) are relatively sparse (Vecchiotti, 2001). Therefore, the question remains an empirical one.

The vast majority of research on this topic has focused on the effects of school entry age on cognitive skills (as measured by academic achievement)<sup>1</sup>, partly due to the emphasis placed on test scores by school accountability policies and data availability. However, the theoretical arguments for entry age effects on non-cognitive skills are equally strong. In fact, teachers place

<sup>&</sup>lt;sup>1</sup> The literature supports a strong positive relationship between school entrance age and multiple measures of school achievement (see for e.g., Datar, 2006a; Bedard & Dhuey, 2006; Elder & Lubotsky, 2009; Smith, 2009). Research finds, however, that the effect of entry age on achievement is strongest early on in schooling and diminishes over time (Elder & Lubotsky, 2009; Smith 2009). Elder and Lubotsky (2009) find the effect of kindergarten entrance age on academic achievement among U.S. children to disappear as early as fifth grade, although Bedard & Dhuey (2006) find that effects persist until 8<sup>th</sup> grade in an international sample.

more emphasis on behavior readiness at the time of school entry relative to academic skills (Cappelloni 2010; Lin, Lawrence & Gorrell, 2003;). Moreover, a growing literature shows that non-cognitive abilities (e.g. motivation, perseverance, risk aversion, self-control, effort, work habits, perceived interest in school) play an important role for setting the course for a successful life in childhood and beyond (Deke & Haimson 2006; Cunha & Heckman, 2008; Gottfried, 1985; Gottfried, Gottfried, Reichard, et al., 2011; Heckman & Rubenstein, 2001; Heckman, Stixrud, & Urzua 2006). For example, labor market success has been linked to youth noncognitive measures, including self-esteem locus-of-control (Deke & Haimson, 2006; Heckman et al., 2006; Wadell, 2008); youth leadership (Kuhn & Weinberger, 2005); the interpersonal trait characterized as directness (Borghans, ter Weel, & Weinberg, 2008); test-taking motivation (Segal, 2012); and behavior problems (Bowles, Gintis & Osborne, 2001; Karakus et al., 2010). Besides labor market outcomes, non-cognitive skills have been linked to a variety of other outcomes in later life. There is growing evidence to suggest that interventions that affect noncognitive skills in childhood and adolescence can have significant effects on criminal behavior and delinquency later in life (Hill et al., 2011). Moreover, non-cognitive skills are also related to teenage pregnancy and health (Carneiro, Crawford & Goodman, 2007). Later school entry has been linked to lower likelihood of teenage pregnancy and mental health problems (Black, Devereaux & Salvanes, 2011).

Despite the growing recognition that non-cognitive skills matter, very little research has examined how school entry age impacts such skills during the school years. Studies based on children in the U.S. have used small, geographically limited, samples and focus only on effects in the early school years (e.g., NICHD 2007; Stipek & Byler, 2001). In this study, we examine the effects of school entry age on children's social-behavioral outcomes in elementary and middle school using data from the Early Childhood Longitudinal Study – Kindergarten Class (ECLS-K). The ECLS-K is a unique dataset which followed a nationally representative cohort of kindergarteners in the U.S. over 9 years (Kindergarten through 8<sup>th</sup> grade) with detailed data on children's cognitive and social-behavioral outcomes in each wave of data collection. As such, these data represent the ideal observational data to study the effects of entry age on non-cognitive skill accumulation during the school years. Our examination of the entry age effects on a range of social-behavioral skills during elementary as well as middle school builds up on the extant body of research and fills an important gap in the existing literature. In addition, a secondary aim of our study is to examine whether the effects on cognitive outcomes (i.e. test scores) persist beyond elementary school years.

Following previous empirical work, we employ an instrumental variables (IV) strategy to estimate the causal effects of school entry age on social-behavioral outcomes. We leverage two potentially exogenous sources of variation – variation in birthdates within a year and variation in state kindergarten entrance cutoff dates – to construct instruments for kindergarten entry age. Our results suggest that a higher school entry age has non-trivial beneficial effects on children's social-behavioral skills during the elementary school years. However, these effects largely disappear by the end of middle school. In addition, our updated estimates for the cognitive effects suggest that entry age effects on math and reading test scores diminish considerably after 9 years in school, although the effects on reading still remain statistically significant at the end of 8<sup>th</sup> grade. This convergence in skill differences suggests that while older entrants enjoy temporary benefits, it is the younger entrants who accumulate these skills at a faster rate. The IV estimates are generally much larger than OLS, suggesting that school entry age decisions are endogenous and that those who delay school entry are likely to be children at-risk of poor

outcomes in school, such as those with developmental delays. Finally, we find no evidence that the estimated entry age effects are operating through the child's age relative to his or her peers.

This article proceeds as follows. Section 2 provides a brief review of the relevant literature followed by a description of the conceptual framework in Section 3. Section 4 describes the data and variables, and Section 5 describes the empirical approach. The results are reported in Section 6 followed by the conclusion in Section 7.

#### 2. Prior Literature

The literature examining the effects of school entry age on cognitive skills is large and generally concludes that differences between younger and older school entrants are substantially large in the early school years but tend to diminish by middle or high school (see for e.g., Datar, 2006a; Bedard & Dhuey, 2006; Deming & Dynarsky, 2008; Elder & Lubotsky, 2009; Smith, 2009). A relatively smaller, but growing, body of literature has examined longer-term effects of school entry age on labor market and other outcomes in adulthood and has mixed findings (Bedard & Dhuey, 2008; Fredriksson & Öckert, forthcoming; Dobkin and Ferreira, 2010; Black, Devereaux & Salvanes, 2011).

However, very few studies have considered the effect of school entry age on noncognitive skills during the school years, such as social skills, interest in learning, personality, and behavioral measures, and have yielded mixed results. Stipek and Byler (2001) examined the impact of entrance age on social skills, academic engagement, relationship with teachers, and self-ratings of academic skills, in addition to academic achievement using a longitudinal sample of 237 children in kindergarten through third grade from low-income families. Analyses were conducted to compare children who were divided into three age groups and on children matched

on age but in different grades. However, these authors found no evidence for entry age effects on teachers' ratings of children's social skills, engagement in academic tasks, or their relationship with their teachers.

Similarly, the National Institute of Child Health & Human Development (NICHD) Early Child Care Research Network (2007) found no relationship between kindergarten entry age and social-behavioral outcomes. The study analyzed data from 900 children participating in the NICHD Study of Early Child Care and found children's age at kindergarten entry to be unrelated to their social competence and behavior problems in kindergarten or changes in these outcomes between kindergarten and third grade.

Finally, Muhlenweg et al (2012) analyzed data from a longitudinal cohort study of children in central Germany and found that children with a higher age at school entry due to a birthday late in the year had more favorable outcomes with respect to several temperamental dimensions at age 11 such as hyperactivity and adaptability to change.

Given the scarcity of research on the effects of school entry age on social-behavioral outcomes, our study fills an important gap in this literature. Our study will examine entry age effects on both social-behavioral and cognitive outcomes for the same national sample of students. The case for examining cognitive outcomes is well established in the evaluation of school entry age. However, it is equally important to examine the development of social-behavioral skills for school-aged children, as these skills have been shown to be critical for school success (Rosen et al., 2010). Moreover, research suggests that the sensitive period for development is around 8-9 years (Cunha & Heckman, 2006), which is beyond the age that prior studies examined. In addition, with the exception of Muhlenweg et al (2012), other studies on non-cognitive effects do not address the endogeneity of school entry age. We address this

concern by estimating instrumental variables models that leverage variation in children's birth dates and state kindergarten entry age cutoff dates.

#### **3.** Conceptual Framework

There are two dominant viewpoints in the early childhood literature surrounding school entry age that mirror the classic nature versus nurture debate. On the one hand, older children are assumed to be more ready because of the "gift of time" and general out-of-school experience and therefore likely to profit more from formal schooling (see Frick 1986; Uphoff & Gilmore 1986). This assumption is based on a developmental theory that privileges the contributions of biological maturation (see Kagan 1990; Meisels, 1999; Smith & Shepard, 1988). There is an implicit notion of a threshold of cognitive and social development that needs to be crossed to benefit from schooling. On the other hand are those who argue that school can provide the nurturing environment that helps to promote children's learning and development. This view is based on sociocultural perspectives (Vygotsky, 1978; Wertsch, 1985) which posit that learning precedes development and that teachers collaborate with students to develop programs that are responsive to their current level of functioning. It is further argued that since development at this age is uneven and multidimensional, establishing age-based thresholds is not appropriate and instead adapting the curriculum to the child's developmental levels is likely to yield more success.

In addition to an absolute age effect, a child's age relative to their classmates may also have an independent effect on their learning. One way that might happen is if the classroom instruction is geared towards the average student's developmental skills. That instructional level might be beyond the skill set of the youngest child or might be below the skill set of the oldest

child. Another possibility is that being the youngest or oldest in one's classroom may influence social-behavioral outcomes such as self-confidence, aggressive behaviors, and motivation. School entry age might also affect outcomes depending upon how the child spends her time during the extra year she stays out of school. For example, attending a high-quality preschool program, spending more time in maternal care, or other preschool experiences may have independent effects on children's human capital accumulation.

Ultimately, school entry age would influence a child's learning through an interaction between what skill level they enter school with, which is a function of biological maturation (i.e. age) and preschool experiences, how the classroom's instruction matches with the child's developmental stage, and the child's age relative to classroom peers.

#### 4. Empirical approach

Estimating the effects of school entry age on outcomes during the school years is empirically challenging due to two primary reasons. The first is a fundamental identification problem: the effects of entry age cannot be identified separately from the effects of assessmentage (i.e. age when the outcomes are measured) when children in the same grade are compared. Likewise, the effects of entry age cannot be identified separately from the effects of years of schooling when children of the same age are compared. In line with the prior literature, we compare the social-behavioral and cognitive skills of older versus younger school entrants after the same time has passed in school. Same-age comparisons are less informative when examining school outcomes because the impact of time in school is likely to swamp any effects of age or entry age on these outcomes (Datar, 2006a).

Second, school entry age is endogenous because the decision to delay school entry is a family's choice that is likely to depend on a variety of factors that independently influence a child's skill development, such as his or her innate ability, parental motivation, and family resources. Inability to observe, and therefore control for, any of these factors would lead to biased estimates of entry age effects on child outcomes. We leverage plausibly exogenous variation in state cut-off dates for kindergarten eligibility and children's birthdates to estimate instrumental variable models.

#### 4.1. Econometric Model

We model the cognitive and social-behavioral outcomes of child *i* at time (grade)  $t(Y_{it})$  as a linear function of kindergarten entrance age (*KEA*), and child (*X*), family (*F*), and school characteristics (*S*) at time t.

 $Y_{it} = \beta_{1t} \text{ KEA}_i + \beta_{2t} X_{it} + \beta_{3t} F_{it} + \beta_{4t} S_{it} + \varepsilon_{it}$ 

where,  $\varepsilon_{it}$  is the error term.

We estimate this model for each time period, i.e. grade, separately<sup>2</sup>. Therefore, the coefficient  $\beta_{1t}$  in equation (1) captures the difference in children's outcomes at time t between those who entered kindergarten early versus those who entered later. Using these estimates, we can compare the trajectory of social-behavioral and cognitive outcomes across younger versus older kindergarten entrants.

#### 4.2. Addressing Endogeneity of Kindergarten Entry Age

<sup>&</sup>lt;sup>2</sup> Note that while we refer to the time period as grade, the ECLS-K surveyed the baseline sample in each subsequent wave irrespective of the grade level they were currently in. Therefore, grade basically refers to the modal grade of the cohort in that wave.

We employ an instrumental variables (IV) strategy (Greene, 2000) to address endogeneity of entry age. We use two sources of arguably exogenous variation in KEA, namely, variation in birthdays and variation in state KEA policies, to construct instruments for KEA. These sources of variation have also been used in other studies to estimate the effect of school entry age on standardized test scores (Datar, 2006a; Bedard & Dhuey, 2006; Elder & Lubotsky, 2009; Smith 2009), on years of schooling (Angrist & Kreuger, 1992), and on labor market outcomes (Black, Devereaux & Salvanes 2010; Dobkin & Ferreira 2010; Eide & Showalter 2001;).

Our primary instrument is the number of days between a child's 5<sup>th</sup> birthday and his or her school's cutoff date. Variation in birthdays within a year is arguably random and therefore presents one source of exogenous variation in KEA. Children who have their 5<sup>th</sup> birthday just before the school cutoff date are eligible to enter kindergarten in that school year, whereas those who have birthdays immediately after the cutoff date need to wait an additional year in order to be eligible to enter kindergarten. As a result, children with birthdays immediately before and after the cutoff date are almost 1 year apart in their entrance age, on average. Therefore, the number of days between a child's 5th birthday and the school cutoff date would be a strong predictor of her entrance age.

Figure 1 plots the mean entrance age in months against the number of days between the child's 5<sup>th</sup> birthday and the cutoff date for kindergarten entrance. For e.g., a value of one for this instrument indicates that the child's 5<sup>th</sup> birthday was one day after the cutoff date. As expected, there is a strong correlation between the instrument and children's KEA. Children who were born just after the cutoff date tend to be the oldest in the classroom, as they have to wait a full academic year to enter kindergarten.

The identification assumption here is that the distance between the child's 5th birthday and the school's cutoff date is exogenous and has no direct effect on the child's outcomes. Datar (2006a) has previously demonstrated that the observable characteristics between children in four different categories (based on calendar quarters) of distance to the school cutoff date are quite similar (see Appendix A). However, not surprisingly, quarter-of-birth varies with distance to cutoff date. Children born in the fourth and first quarter would be most likely to narrowly miss the school cutoff date and have a low value for the instrument, whereas children born in the second and third quarter are likely to meet the cutoff date and have high values for the instrument. Consequently, it is possible that there may be season-of-birth effects on child outcomes (Buckles & Hungerman, 2013; Bound & Jaegar, 2000; Bound, Jaegar, & Baker, 1995). To address quarter-of-birth effects, we also estimate models that include birth month fixed effects (reported in the results) and birth quarter (available upon request) fixed effects. Another potential concern with this instrument is that since parents choose the school that a child attends, unobserved factors that influence school choice are also correlated with this instrument. Hence, a second test of robustness includes school fixed effects in the models.

While our main IV models use the primary instrument described above, we also estimate alternate models that use the minimum age required on the first day of school to enter kindergarten in the child's state of residence as an additional instrument. According to Table 1, there is substantial variation across states in the cutoff date (to be 5 years old) for kindergarten entrance. Hence, children who reside in states with a later cutoff date will, on average, be younger because their state will have a lower minimum entrance age than children who reside in states with an earlier cutoff date. The mean entrance age is higher in states where the cutoff date requiring children to be 5 years old is earlier.

One concern with this instrument is that state cutoff dates for kindergarten eligibility may be endogenous: states with a higher minimum entrance age requirement (or earlier cutoff date) may also make other unobserved investments in their school systems that favorably impact student outcomes. Additionally, unobserved parental preferences may influence both the choice regarding state of residence as well as child outcomes. We present results from overidentification tests and models that add school fixed effects, which leverage within-school variation in birthdates to identify entry age effects.

#### 5. Data

The data analyzed are from the Early Childhood Longitudinal Study—Kindergarten Class (ECLS-K), which surveyed a nationally representative cohort of kindergartners from about 1,000 kindergarten programs in fall and spring of the 1998–1999 school year. This is a panel study where the initial sample of children are followed up until grade 8, with data collection on the full sample in the spring of grades 1, 3, 5 and 8. NCES (1999) provides details of the survey design and instruments. We use data collected at kindergarten entry (fall of kindergarten), spring of kindergarten, and the spring of grades 1, 3, 5, and 8.

The primary advantage of this data set is that it includes detailed information on children's social-behavioral and cognitive skills at multiple time points. The longitudinal aspect of these data allows analysis of whether there are important differences in skills of early versus late entrants and how these differences change over time.

Another unique feature of this data set is that it contains information on kindergarten eligibility cutoff dates at the school level. The ECLS-K also collected data on school start dates,

children's birth dates and year in which they entered kindergarten. Together, this information is used to compute the exact age at entry into Kindergarten.

Extensive background information in these data on the study participants provides a rich set of control variables in the analysis. The data contain detailed information on demographics, and school, teacher and classroom characteristics. There is also detailed information about the parents of the kindergartners, including family composition and educational background of the parents.

Since the ECLS-K is a panel survey, a concern regarding the data was the extent of attrition in the sample as children progressed from kindergarten to subsequent grades. If attrition is not random then estimates generated using the sample of non-attritors may be biased. A distinguishing feature of the ECLS-K is that the study followed up all movers from a random 50 percent of base year schools, and a random 50 percent of the movers in each subsequent wave. Therefore, most of the children who were lost to follow up in subsequent grade were those who were randomly selected for no follow-up. Approximately 36 percent of the original kindergarten sample stayed in the ECLS-K dataset through grade 8. Observable characteristics of stayers and attritors were compared using fall kindergarten data. Stayers were more likely to be whites and have more educated mothers. However, there was no difference in the mean kindergarten entrance age of attritors and stayers.

The analyses in this study are limited to first-time kindergartners only and children who had non-missing information on social-behavioral outcomes in the relevant wave.<sup>3</sup> The sample sizes ranged from 12,000 to 14,000 observations in kindergarten and grade 1, between 9,000 and 11,000 observations in grade 3, between 8,000 and 9,000 observations in grade 5, and between 7,000 and 8,000 in grade 8. Precise sample size values (rounded to the nearest 50, per the

<sup>&</sup>lt;sup>3</sup> Limiting our sample to children who had social-behavioral outcome data in all waves yielded similar results.

requirements of using restricted ECLS-K data) are available upon request for each individual regression. All analyses are unweighted to allow direct comparison with related papers that use the ECLS-K data (Datar, 2006; Elder & Lubotsky, 2009). Therefore, generalizations to all U.S. kindergarteners cannot be made. Nevertheless, all regressions control for variables that were considered for oversampling (i.e. race-ethnicity) and standard errors are adjusted for clustering at the school level.

#### 5.1. Dependent Variables

Our main dependent variables included measures of behavioral and social skills from teacher and student surveys. Teachers rated each student on several items that were grouped to create two scales for problem behaviors and four scales for social skills. The two problem behavior scales included - (1) externalizing problems (frequency with which a child argues, rights, gets angry, acts impulsively, and disturbs ongoing activities); and (2) internalizing problems (presence of anxiety, loneliness, low self-esteem, and sadness). The four scales for social skills included - (1) interpersonal skills (getting along with people, forming and maintaining friendships, helping other children, showing sensitivity to the feelings of others, and expressing feelings, ideas, and opinions in positive ways); (2) self-control (controlling temper, respecting others' property, accepting peer ideas, and handling peer pressure); (3) peer relations (combination of items from the first two social scales)<sup>4</sup>; and (4) approaches to learning (child's attentiveness, task persistence, eagerness to learn, learning independence, flexibility, and organization).

Teachers' ratings of individual children might be subjectively reported relative to the average behavior of the class. For example, a generally disruptive child may be rated favorably

<sup>&</sup>lt;sup>4</sup> The peer-relations scale was added only in the 3<sup>rd</sup> and 5<sup>th</sup> grade waves.

in a class with numerous unruly peers but unfavorably in a class with few unruly peers. Therefore, we also use scales constructed from items on the Self-Description Questionnaire (SDQ), which was used to determine how children thought about themselves socially and academically. However, the SDQ was only administered starting in 3<sup>rd</sup> grade. Items on the SDQ were used to construct the two problem behavior scales (Externalizing and Internalizing) and one scale measuring peer relations (perception of their popularity, how easily they make friends and get along with children) in the 3<sup>rd</sup> and 5<sup>th</sup> grades. In 8<sup>th</sup> grade, only the internalizing problem behavior scale was available, but two additional scales for locus of control (amount of control over own life) and self-concept (perceptions about themselves) were added.

These measures are adapted from the Social Skills Rating Scale, a widely used survey technique for detecting social and behavioral problems in the classroom. Each construct averages a series of questions rated on a scale of 1 (never) to 4 (very often), so a high score for self-control and interpersonal skills, for example, reflects a favorable outcome, and a high score on externalizing or internalizing problems reflects an unfavorable outcome. These scales have high construct validity as assessed by test-retest reliability, internal consistency, inter-rater reliability, and correlations with more advanced behavioral constructs (Elliott et al., 1988). They are considered the most comprehensive social skill assessment that can be widely administered in large surveys such as the ECLS-K (Demaray et al., 1995).

For cognitive outcomes, we examined percentile test scores on mathematics and reading assessments administered at each survey wave. These assessments were designed to measure the age-specific achievement of the child. In addition, we also used the raw scale scores based on item response theory (IRT) procedures.<sup>5</sup> While the percentile scores capture a child's performance relative to his or her peers, the IRT scores are a measure of absolute skills.

#### 5.2. Explanatory Variables

The key explanatory variable in our analyses was the child's kindergarten entrance age, or KEA. The age in months was computed accurately using the child's birth date and the start date of the school year. A variety of child, family and school level variables were included as additional explanatory variables in the estimation. Child level variables included race, gender, and disability status. Family level variables included household composition (measured by number of siblings, number of adults in the household), mother's education, primary language spoken at home, and poverty status. School level variables included size of the school as measured by the enrollment, percentage that was minority, public or private school, and geographic region. The means and standard deviations for the dependent and explanatory variables by kindergarten entrance age are reported in Table 2. Whites, children with disabilities, and children whose primary language is English were more likely to enter kindergarten at an older age as were children located in the Midwest or South. On the other hand, children from poor and less educated families were more likely to enter kindergarten at a younger age as were children in the Northeast and West.

#### 6. Results and Discussion

#### 6.1. Kindergarten Entry Age Effects on Social-Behavioral Outcomes

Table 3 presents OLS and IV estimates of the effect of KEA on teacher-reported behavior problems from Kindergarten through 5<sup>th</sup> grade. Note that teacher ratings on these outcomes were not obtained by the ECLS-K beyond 5<sup>th</sup> grade. Both OLS and IV estimates suggest that children

<sup>&</sup>lt;sup>5</sup> The IRT scale scores represent estimates of the number of items students would have answered correctly if they had answered all possible questions on the standardized tests in both reading and math.

who are older at the time of kindergarten entry tend to exhibit fewer externalizing and internalizing behavior problems than do children who are younger at kindergarten entry. Here, negative results imply better outcomes. The IV estimates suggests that, in general, OLS tends to underestimate the beneficial effect that KEA has on diminishing externalizing and internalizing behaviors.<sup>6</sup> For instance, OLS estimates show that a one-year delay in KEA is associated with a 0.06 scale points reduction in externalizing and internalizing problems at kindergarten entry. In comparison, the corresponding IV estimates are considerably higher than the OLS estimates being a year older at kindergarten entry decreases teacher-reported externalizing problems by 0.09 scale points and internalizing problems by 0.13 scale points at the time of kindergarten entry. To provide a sense of magnitude, the mean and standard deviation of externalizing problems at kindergarten entry were 1.60 and 0.61, respectively; this implies an effect size of 0.15, or 6 percent of the mean, in the IV estimation. For internalizing problems, the mean and standard deviation were 1.51 and 0.51, respectively, indicating an effect size of a quarter of a standard deviation, or 9 percent of the mean, in the IV estimation. The estimates appear to bounce around a bit across waves, but in general, we observe statistically significant effects at the end of third grade for both externalizing and internalizing problems and even until the end of  $5^{\text{th}}$  grade for internalizing problems. For both outcomes, the estimated effect size (d) declines considerably between Fall of kindergarten to Spring of 5<sup>th</sup> grade.

Table 4 reports the corresponding set of estimates for the four teacher scales that measure children's social skills. Across all of these scales – self-control, interpersonal skills, peer relations, and approaches to learning – the results suggest a positive relationship between KEA and these positive skills. In general, the estimated effects are positive and large starting in

<sup>&</sup>lt;sup>6</sup> The F-statistic on the IV in the first stage was above 1400 (p<0.001) in all exactly-identified IV models for socialbehavioral and cognitive outcomes. First stage regression estimates for the exactly identified and over-identified models are reported from one regression in Appendix B (estimates from other models are available upon request).

kindergarten and remain statistically significant until the end of 5<sup>th</sup> grade. The only exception is self control, which becomes insignificant in 5<sup>th</sup> grade. This is consistent with the results for teacher-reported externalizing behaviors as these two scales are closely related. As with the results for externalizing and internalizing behaviors, the IV estimates are larger in magnitude relative to OLS, suggesting downward bias in the latter. The point estimates decrease in magnitude beginning in third grade, potentially yielding evidence of a diminishing effect over time, although the magnitude of the effects at the end of elementary school are still large, ranging from  $0.08\sigma$ - $0.22\sigma$  in the IV estimation.

Table 5 presents results for social-behavioral outcomes from scales based on student survey responses. The scales from the student surveys begin in 3<sup>rd</sup> grade and continue through the final wave of data, i.e. 8<sup>th</sup> grade. Much like previous tables, each cell here represents the coefficient and standard error from a unique regression. All other explanatory variables are similar to those from Table 1.

The overall findings are generally consistent with those from Tables 3 and 4; increase in KEA has significant beneficial effects on child-reported social-behavioral outcomes until the end of elementary school. There are some differences between the child- and teacher-reported results for some scales. Only three scales are potentially comparable between teacher and child reports during the elementary school years – externalizing (K-5<sup>th</sup>), internalizing (K-5<sup>th</sup>), and peer relations (3<sup>rd</sup> and 5<sup>th</sup> grades). KEA effects on externalizing behaviors in 5<sup>th</sup> grade are significant in child-reports but not in teacher-reports. But, KEA effects on peer relations and internalizing behaviors are significant in both. Other studies have indicated differences between student self-ratings of social skills and teacher-ratings of their social skills (Salzman & D'Andrea, 2001; Malecki & Elliott, 2002), with teaching ratings of children's social skills considered more

reliable and valid compared to student reports (Merrell, 2001; Diperna & Volpe, 2005). Finally, there are no teacher-reported measures in 8<sup>th</sup> grade, but the child-reported measures suggest that by the end of middle school the effect of KEA on social-behavioral outcomes largely disappears.

To address concerns about multiple testing, we also adjusted the p-values of the estimates in Tables 3-5 using a False Discovery Rate correction (Benjamini & Hochberg, 1995). Only one estimate that was significant at the 0.095 level became statistically insignificant.

#### 6.2. Kindergarten Entry Age Effects on Cognitive Outcomes

Figures 2 and 3 plot the predicted reading and math percentile scores (and their 95% confidence intervals), respectively, from IV models for children who enter kindergarten at ages 5 and 6 years.<sup>7</sup> Children who enter kindergarten at 6 years score about 15 percentile points higher on reading tests and 22 percentile points higher on math tests at the beginning of kindergarten. This difference reduces by the end of 5<sup>th</sup> grade but remains substantial and statistically significant. By the end of 8<sup>th</sup> grade, however, the difference is rendered small and statistically insignificant, except for reading, where the effects are significant at the 10% level.

The figures also speak to the issue of whether older entrants "learn" at a differential rate compared to younger entrants. The convergence between scores of younger and older entrants over time suggests that younger entrants exhibit larger gains in test scores over time relative to older entrants. This pattern of results is consistent even in models that used IRT scale scores instead of percentile scores. For example, the predicted reading IRT scores from IV models in the fall of kindergarten were 33.7 and 38.3 for children who entered at ages 5 and 6, respectively. At the end of 8<sup>th</sup> grade, the predicted IRT scores were 143.3 and 144.5, respectively, indicating

<sup>&</sup>lt;sup>7</sup> Detailed OLS and IV estimates from models that use the percentile scores as well as IRT scores are reported in Appendix C.

larger gains in absolute scores among younger entrants. These findings are in stark contrast to the results from Datar (2006a), which suggested that older entrants experienced larger gains. However, a closer examination of changes in predicted IRT scores for younger and older entrants reveals that while older entrants gained more between fall of kindergarten and spring of first grade (the period studied in Datar, 2006a), the addition of subsequent waves shows a reversal of that finding.<sup>8</sup>

Finally, similar to our findings for social-behavioral outcomes, we find that OLS estimates tend to be biased downwards even for cognitive outcomes.<sup>9</sup>

#### 6.3. Sensitivity Analyses

Next, we examine the sensitivity of the social-behavioral results to controlling for birth month and school-level fixed effects and to the inclusion of an additional instrument (Tables 6 and 7). Since our primary instrument leverages variation in birth dates, one concern may be that our IV estimates are biased if season of birth has a direct effect on child outcomes. Estimates from IV models that further control for birth month fixed-effects are reported in Columns 1 and 4 in both tables and confirm that our results are robust to the inclusion of birth month fixed effects.

The second set of regressions controls for school fixed-effects to address concerns that unobserved factors that influence school choice may also be correlated with the distance to cutoff date instrument (Columns 2 and 5). Again, we find that our results are robust to such controls.

Finally, we estimate a set of regressions that leverage variation in state kindergarten entry age cutoff dates as an additional instrument in the IV regressions (Columns 3 and 6). As

<sup>&</sup>lt;sup>8</sup> Elder and Lubotsky (2009) also find that the effect of KEA on IRT scores increases in first grade, but that this effect diminishes in third and fifth grades.

<sup>&</sup>lt;sup>9</sup> We also examined whether the effects of KEA on social-behavioral and cognitive outcomes varies by gender, raceethnicity, and poverty status but did not find any consistent, statistically significant patterns.

expected, the state's kindergarten entry cutoff month is a strong predictor of KEA. The joint Fstatistic of the instruments in the first stage was greater than 800 in all models (p<0.001) and the overidentification test did not reject the validity of the instruments in any model. We find that this overidentified model yields very similar results to our single-IV models.

Corresponding sensitivity analyses for the cognitive effects of entry age are reported in Appendix D and are largely similar to the main results with one exception. Overidentified models suggest that the cognitive effects of entry age persist even until the end of middle school.

#### 6.4. Are These Absolute- or Relative-Age Effects?

A final set of analyses tests whether it is the child's entry age per se that matters, or if the effects of entry age are being driven through the child's age relative to that of his or her classmates. To estimate absolute versus relative age effects, we follow the approach of Elder and Lubotsky (2009) and include both the child's own entry age and the average entry age of his or her classmates in our models. We augment our instruments set by adding the average school-wide predicted entry age based on cutoff dates. The regression model includes all prior covariates as well as school-wide averages for each covariate.

Table 8 reports the IV estimates for the complete set of social-behavioral and cognitive outcomes. For the sake of simplicity, only the results for fall of kindergarten are presented. The coefficients on child's own entrance age are statistically significant and similar in magnitude to those seen in the main results; however, the coefficients on average peer entrance age are not statistically different from zero, with one exception; relative age has a large and significant effect on interpersonal skills at school entry. Results for subsequent waves are available upon request, though the pattern remains similar to what is presented in the table. Even the significant effects

on interpersonal skills at kindergarten entry become smaller and statistically insignificant by the end of 5<sup>th</sup> grade. Hence it is the child's own entrance age that affects social-behavioral and cognitive outcomes rather than the child's age relative to his or her classmates.

#### 7. Conclusion

Much of the prior literature has focused on examining the cognitive effects and, to a lesser extent, longer-term labor market consequences of school entry age. Our study presents new evidence on the social-behavioral effects of school entry age using 9-years of panel data on a large national sample of kindergarteners in the U.S.

Several interesting results emerge from our study. First, higher KEA has significant positive effects on children's social-behavioral skills through the elementary school years. For example, older entrants score  $0.18\sigma$  better on teacher-rated internalizing behavior problems, relative to younger entrants, at the end of 5<sup>th</sup> grade. Second, it appears that differences in socialbehavioral skills between older and younger entrants diminish during the middle school years, largely disappearing by the end of 8<sup>th</sup> grade. However, not all measures of social-behavioral skills are available in 8<sup>th</sup> grade, therefore, it is possible that some of the differences may persist. For example, we find some evidence that older entrants score significantly higher  $(0.15\sigma)$  on self-concept relative to younger entrants at the end of 8<sup>th</sup> grade, but no significant differences in internalizing problem behaviors or locus of control. Third, our updated estimates for the cognitive effects suggest that differences between older and younger kindergarten entrants in math and reading test scores start out large at school entry and diminish over time, although the difference in reading achievement still remains statistically significant and sizeable (up to 5 percentile points in some specifications) at the end of 8<sup>th</sup> grade. Prior work by Datar (2006) suggests that older entrants gain at a faster rate than younger entrants during the first two years in

school. Our updated results confirm that finding but also show that the reverse seems to happen after 1<sup>st</sup> grade – younger entrants begin to catch up and older entrant lose their initial advantage. One potential explanation for this reversal in gains is that the kindergarten curriculum and the demands made on children do not adapt sufficiently to the wide-ranging developmental skills of the younger children, and so biological maturation may play a bigger role. But as children get older and the variance in developmental skills within a classroom narrows (in part due to instruction), the younger entrants are able to catch up with their older entrant peers. Studies have shown that Kindergarten and first grade serve as critical developmental years in which these socio-emotional skills are critically forming and begin to reach stability by ages 6-8 (Olson et al., 2005; Posner & Rothbart, 2000). Finally, IV estimates are generally larger than OLS, suggesting that school entry age decisions are endogenous and that those who delay school entry are likely to be children at-risk of poor outcomes in school, such as those with developmental delays.

Are these merely relative age effects, or are these age-at-test effects, or is it the case that the extra year of maturity provided by delayed school entry sets children on a higher trajectory of skill accumulation? Our results suggest that the first explanation could be ruled out. A child's own entrance age has a strong effect on his or her test score and social-behavioral outcomes, but his age relative to classmates does not influence these outcomes. This distinction is important because it has different implications for school entry age policies. If entry age effects are primarily driven by absolute age, increases in the minimum entry age for kindergarten could improve cognitive and social-behavioral outcomes of the entire cohort, on average, because older entrants would be better equipped to succeed in school. On the other hand, if entry age effects operated solely through relative-age then such policy changes would have no effect on average outcomes of the cohort because they would merely shift the age distribution.

But, whether the estimated effects are entry age effects or merely age-at-test effects is much harder to test. Since differences in cognitive and social-behavioral outcomes between older and younger entrants diminish over time, it may suggest that the estimated differences between older and younger entrants are mainly because of the skills that children accumulate outside of school (i.e. age-at-test effects) that naturally diminish over time due to the increasingly smaller contribution of an additional year of age. Indeed, the pattern of convergence suggests that while older entrants enjoy temporary benefits, it is the younger entrants who accumulate cognitive and social-behavioral skills at a faster rate than older entrants.

However, these findings do not necessarily imply that efforts to raise school entry age lack merit. The significant short-run benefits associated with delayed school entry may be important for parents in some contexts, such as when schools begin tracking in early grades based on ability or when younger entrants are much more likely to be held back in grades or diagnosed with learning disabilities (Elder & Lubotsky 2009). Early school performance may also be a critical building block for later life outcomes (Currie and Thomas 2001). On the flip side, however, delaying school entry is associated with significant costs, such as child care costs for the additional time out of school (Datar, 2006b), lower educational attainment as a result of reaching the minimum drop out age earlier (Angrist and Krueger, 1992), and delayed entry into the labor market. These benefits and costs may vary across families suggesting that while delaying entry may be optimal for some parents, starting on-time may be optimal for others. However, the case for blanket policies that raise school entry age by moving cut off dates earlier becomes much weaker with the growing evidence that the benefits from delaying entry are largely short-run.

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Source: Authors' calculations, ECLS-K data (Kindergarten wave)



Figure 2: Predicted Reading Percentile Scores, by Kindergarten Entry Age

Notes: Predicted values by entry age were obtained from IV models with full set of controls, estimated for each wave separately. KEA=Kindergarten Entry Age; FK=Fall Kindergarten; SK=Spring Kindergarten; S1=Spring Grade 1; S3=Spring Grade 3; S5=spring Grade 5; S8=Spring Grade 8.



Figure 3: Predicted Math Percentile Scores, by Kindergarten Entry Age

Predicted values by entry age were obtained from IV models with full set of controls, estimated for each wave separately. KEA=Kindergarten Entry Age; FK=Fall Kindergarten; SK=Spring Kindergarten; S1=Spring Grade 1; S3=Spring Grade 3; S5=spring Grade 5; S8=Spring Grade 8.

	State cutoff date to complete 5 years	Age at which child must be in
State	of age	kindergarten
Alabama	1-Sep	7
Alaska	15-Aug	7
Arizona	1-Sep	6
Arkansas	15-Sep	5
California	2-Dec	6
Colorado	LEA Option	7
Connecticut	1-Jan	7
Delaware	31-Aug	5
District Of Columbia	31-Dec	5
Florida	1-Sep	6
Georgia	1-Sep	7
Hawaii	31-Dec	6
Idaho	1-Sep	7
Illinois	1-Sep	7
Indiana	1-Jun	7
Iowa	15-Sep	6
Kansas	31-Aug	7
Kentucky	1-Oct	6
Louisiana	30-Sep	6
Maine	15-Oct	7
Maryland	31-Dec	5
Massachusetts	LEA Option	6
Michigan	1-Dec	6
Minnesota	1-Sep	7
Mississippi	1-Sep	6
Missouri	1-Aug	7
Montana	10-Sep	7
Nebraska	15-Oct	7
Nevada	30-Sep	7
New Hampshire	LEA Option	6
New Jersey	LEA Option	6
New Mexico	1-Sep	5
New York	1-Dec	6
North Carolina	16-Oct	7
North Dakota	31-Aug	7
Ohio	30-Sep	6
Oklahoma	1-Sep	5
Oregon	1-Sep	7
Pennsylvania	LEA Option	8
Rhode Island	31-Dec	6
South Carolina	1-Sep	5

Table 1: State kindergarten entrance age boncies, 19	<b>Fable 1:</b>	1: State kind	ergarten	entrance	age	policies.	199
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Source: State Departments of Education, CCSSO Policies and Practices Survey, 1998. Council of Chief State School Officers, State Education Assessment Center, Washington, DC. Note: LEA option implies that there was no statewide cutoff date and that local education agencies were allowed to establish their own cutoff dates.

Variable	< 5 years	5-5.5 years	>5.5 years
Fall K Reading score	34.70 (9.25)	34.99 (9.41)	37.43 (10.78)
Fall K Math score	24.31 (8.28)	25.52 (8.22)	29.15 (10.13)
Fall K Internalizing behavior scale	1.57 (0.56)	1.55 (0.53)	1.50 (0.50)
Fall K Externalizing behavior scale	1.67 (0.63)	1.62 (0.64)	1.59 (0.61)
Fall K Locus of control scale	3.01 (0.62)	3.08 (0.61)	3.12 (0.60)
Fall K Interpersonal skills scale	2.89 (0.63)	2.98 (0.62)	3.02 (0.62)
Fall K Approaches to learning scale	2.86 (0.69)	2.94 (0.67)	3.09 (0.65)
Kindergarten entrance age (months)	57.28 (2.90)	62.59 (1.69)	68.83 (2.45)
Male	0.50	0.50	0.52
White	0.46	0.57	0.60
Black	0.16	0.15	0.14
Hispanic	0.25	0.18	0.16
Asian	0.06	0.05	0.05
Other	0.07	0.06	0.05
Disabled	0.11	0.13	0.15
Below poverty line	0.23	0.19	0.19
Family income (less than 5K)	0.04	0.04	0.04
Number of adults in household	2.10 (0.73)	2.04 (0.70)	2.02 (0.65)
Number of siblings in household	1.34 (1.14)	1.43 (1.13)	1.48 (1.15)
Mother has less than high school education	0.07	0.04	0.04
English is primary language	0.81	0.88	0.89
School size (0-149)	0.09	0.06	0.06
Less than 10% minority in school	0.21	0.32	0.35
Private school	0.23	0.20	0.21
Northeast	0.28	0.20	0.16
Midwest	0.11	0.23	0.30
South	0.23	0.32	0.34
West	0.37	0.24	0.19

Table 2: Descriptive statistics, by kindergarten entrance age

Note: Figures reported are means (SD) for continuous variables and proportions otherwise

Waya	Fall 1998	Spring 1999	Spring 2000	Spring 2002	Spring 2004
wave	(K)	(K)	(G1)	(G3)	(G5)
Externalizing					
Mean	1.60	1.648	1.653	1.677	1.63
SD	0.62	0.63	0.637	0.594	0.572
OLS B	-0.064**	-0.054**	-0.097**	-0.059**	-0.002
[SE]	[0.018]	[0.018]	[0.019]	[0.018]	[0.019]
d	-0.103	-0.086	-0.152	-0.099	-0.003
IV B	-0.093**	-0.092**	-0.128**	-0.110**	-0.011
[SE]	[0.030]	[0.031]	[0.031]	[0.033]	[0.033]
d	-0.150	-0.146	-0.201	-0.185	-0.019
Internalizing					
Mean	1.52	1.552	1.593	1.617	1.626
SD	0.514	0.507	0.515	0.532	0.538
OLS B	-0.064**	-0.046**	-0.050**	-0.028	-0.035*
[SE]	[0.016]	[0.015]	[0.016]	[0.018]	[0.017]
d	-0.125	-0.091	-0.097	-0.053	-0.065
IV B	-0.127**	-0.111**	-0.038	-0.068*	-0.098**
[SE]	[0.025]	[0.025]	[0.027]	[0.031]	[0.032]
d	-0.247	-0.219	-0.074	-0.128	-0.182

 Table 3: The effect of a 1-year delay in kindergarten entry age on teacher-rated problem behaviors

Note: robust standard errors in square brackets [SE]. Grade levels in parentheses represent the modal grade of students in each wave. All regressions include the full set of covariates described in the text. \*p<.10; \*\*p<0.05; \*\*\*p<0.01. SD: standard deviation; OLS: Ordinary Least Squares; IV: Instrumental Variable; B=point estimate; d=effect size (B/SD)

		Fall 1998	Spring 1999	Spring 2000	Spring 2002	Spring 2004
Wave		(K)	(K)	(G1)	(G3)	(G5)
	Self Control					
	Mean	3.108	3.196	3.181	3.219	3.248
	SD	0.605	0.620	0.617	0.609	0.593
OLS	В	0.111**	0.080**	0.121**	0.058**	0.030
	[SE]	[0.018]	[0.019]	[0.018]	[0.019]	[0.020]
	d	0.183	0.129	0.196	0.095	0.051
IV	В	0.137**	0.120**	0.180**	0.070*	0.059+
	[SE]	[0.029]	[0.031]	[0.030]	[0.035]	[0.035]
	d	0.226	0.194	0.292	0.115	0.099
			Interpe	rsonal		
	Mean	3.00	3.137	3.112	3.111	3.103
	SD	0.622	0.634	0.644	0.647	0.633
OLS	В	0.125**	0.096**	0.121**	0.066**	0.047*
	[SE]	[0.019]	[0.019]	[0.018]	[0.021]	[0.021]
	d	0.201	0.151	0.188	0.102	0.074
IV	В	0.212**	0.150**	0.169**	0.092*	0.084*
	[SE]	[0.031]	[0.032]	[0.032]	[0.037]	[0.037]
	d	0.341	0.237	0.262	0.142	0.133
			Peer Re	lations		
	Mean				3.16	3.166
	SD				0.599	0.586
OLS	В				0.062**	0.038*
	[SE]				[0.019]	[0.019]
	d				0.104	0.065
IV	В				0.082*	0.076*
	[SE]				[0.034]	[0.034]
	d				0.137	0.130
Approaches to Learning						
	Mean	3.016	3.139	3.05	3.078	3.086
	SD	0.665	0.677	0.705	0.675	0.673
OLS	В	0.263**	0.250**	0.241**	0.122**	0.080**
	[SE]	[0.019]	[0.020]	[0.020]	[0.020]	[0.021]
	d	0.395	0.369	0.342	0.181	0.119
IV	В	0.466**	0.441**	0.396**	0.206**	0.150**
	[SE]	[0.030]	[0.032]	[0.034]	[0.036]	[0.038]
	d	0.701	0.651	0.562	0.305	0.223

 

 Table 4: The effect of a 1-year delay in kindergarten entry age on teacherrated social skills

Note: robust standard errors in square brackets [SE]. Grade levels in parentheses represent the modal grade of students in each wave. All regressions include the full set of covariates described in the text. p<.10; p<0.05; p<0.01. SD: standard deviation; OLS: Ordinary Least Squares; IV: Instrumental Variable; B=point estimate; d=effect size (B/SD). Blank cells indicate that the outcome was not available for that wave.

	Wave	Spring 2002 (G3)	Spring 2004 (G5)	Spring 2007 (G8)		
Externalizing						
	Mean	1.963	1.811			
	SD	0.681	0.643			
OLS	B[SE]	-0.035+ [0.018]	-0.055** [0.018]			
	d	-0.051	-0.086			
IV	B[SE]	-0.102** [0.033]	-0.074* [0.035]			
	d	-0.150	-0.115			
		]	Internalizing			
	Mean	2.158	2.023	2.032		
	SD	0.722	0.620	0.538		
OLS	B[SE]	-0.040* [0.020]	-0.043* [0.019]	0.030 [0.019]		
	d	-0.055	-0.069	-0.056		
IV	B[SE]	-0.026 [0.035]	-0.060+ [0.035]	-0.006 [0.036]		
	d	-0.036	-0.097	-0.011		
		Lo	ocus of Control			
	Mean			0.024		
	SD			0.608		
OLS	B[SE]			-0.001 [0.021]		
	d			0.002		
IV	B[SE]			0.031 [0.040]		
	d			0.051		
		Р	eer Relations			
	Mean	3.040	3.008			
	SD	0.629	0.601			
OLS	B[SE]	0.066** [0.018]	0.089** [0.019]			
	d	0.105	0.148			
IV	B[SE]	0.109** [0.034]	0.174** [0.034]			
	d	0.173	0.290			
			Self Concept			
	Mean			0.019		
	SD			0.685		
OLS	B[SE]			0.013 [0.023]		
	d			0.019		
IV	B[SE]			0.104* [0.044]		
	d			0.152		
		Perceived In	terest in School Subjects			
	Mean	2.923	2.742			
01.0	SD	0.644	0.637			
OLS	B[SE]	0.041* [0.019]	0.036 + [0.019]			
	d	0.064	0.057			
IV	B[SE]	0.047 [0.034]	0.071+[0.036]			
	d	0.073	0.111			

 

 Table 5: The effect of a 1-year delay in kindergarten entry age on student-rated socialbehavioral outcomes

Note: robust standard errors in square brackets [SE]. Grade levels in parentheses represent the modal grade of students in each wave. All regressions include the full set of covariates described in the text. \*p<.10; \*\*p<0.05; \*\*\*p<0.01. SD: standard deviation; B=point estimate; d=effect size (B/SD). Blank cells indicate that the outcome was not available for that wave.